



Attention UCC Customers

The Secretary of State serves as the central filing office for certain financing statements and lien documents as required under the Uniform Commercial Code (UCC). Under the law, those documents are public records, meaning they're available to anyone who requests and pays for a copy of them.

Secretary of State Debra Bowen, who took office in January, moved quickly to shut off web-based access to the UCC filings after learning that many of the documents contain people's social security numbers - a key piece of information sought by identity thieves. In order to prevent identity theft and protect the personal privacy of people whose information appears in documents filed with the Secretary of State, Secretary Bowen has:

- Temporarily disabled the capability to perform online searches and orders via UCC Connect.
- Frozen bulk electronic sales of the UCC image database until all but the last four digits of social security numbers can be removed from all existing UCC records.
- Placed a warning on the Secretary of State's website urging UCC filers to prevent identity theft by not including social security numbers on any UCC forms they file with the Secretary of State.
- Begun removing all but the last four digits of social security numbers from all copies and images of incoming UCC documents before they are made available to the public.
- Begun removing all but the last four digits of social security numbers from all UCC records requested, including records ordered by mail or in person before they are made available to the public.
- Begun exploring technology solutions for removing all but the last four digits of social security numbers from all existing UCC documents on file.

Requests for searches or for copies of UCC filings can be submitted to the Sacramento Office via mail or over the counter using the National UCC 11 Request for Information form (UCC 11). Social security numbers will be removed from all copies and electronic images of UCC filings before we provide the records requested. Please mail or deliver requests to: Secretary of State, Uniform Commercial Code, 1500 11th Street, 2nd Floor, Sacramento, CA 95814.

UCC filings are public records. Please do not put people at risk of identity theft by including social security numbers on any documents for filing with the Secretary of State.

For more information on identity theft, you may want to visit the California Office of Privacy Protection website at <http://www.privacy.ca.gov/> or review its "Privacy Protection Recommendations" available at <http://www.privacy.ca.gov/financial/sbfs021205.pdf>.



Secretary of State
Business Programs Division

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Sacramento, CA 94235-0001

Uniform Commercial Code
(916) 653-3516

**UNIFORM COMMERCIAL CODE (UCC)
FEE SCHEDULE**

Statutory fees for UCC filings and information requests can generally be found in Article 2 (Section [12178.1](#)) and Article 3 (commencing with Section [12180](#)) of the Government Code, and Section [9525](#) of the Uniform Commercial Code.

Online services: UCC transactions, including filings, inquiries and orders for copies and debtor search certificates may be conducted online at <https://uccconnect.sos.ca.gov>.

UCC forms are available on the Secretary of State's website at <http://www.sos.ca.gov/business/> and can be viewed, filled in and printed from your computer for mail or in person delivery. Forms not completed online should be typed or legibly printed in black or blue ink.

ELECTRONIC SUBMISSIONS
<https://uccconnect.sos.ca.gov>

FILINGS:	<u>Web</u>	<u>XML</u>
National Financing Statement (UCC1) & Addendum (UCC1Ad)	\$ 5.00	\$ 5.00
National Financing Statement Amendment (UCC3) & Addendum (UCC3Ad)	\$ 5.00	\$ 5.00
• For each purpose (e.g. termination, continuation, assignment or amendment)		
Master Amendment or Assignment (per record)	\$ 5.00	N/A

INQUIRIES:

Debtor Name – Std Search Logic (fee waived if certificate is ordered at the time of inquiry) ..	\$ 5.00	N/A
Wild Card (Debtor Name) (Non-std Search Logic)	NO FEE	N/A
File Number (per file number)	\$ 5.00	N/A
Secured Party	\$ 5.00	N/A
View Image (per document) (Image can be printed at no additional charge)	\$ 1.00	N/A

ORDERS:

Debtor Search Certificate	\$ 5.00	\$ 5.00
Copy of first page of document	\$ 1.00	N/A
Each additional page	\$ 0.50	N/A
Certification of copy (per document and in addition to copy fees)	\$ 5.00	N/A

MAIL/COUNTER SUBMISSIONS

FILINGS:	<u>2 pages</u> (or less)	<u>3 pages</u> (or more)
National Financing Statement (UCC1) & Addendum (UCC1Ad)	\$10.00	\$20.00
National Financing Statement Amendment (UCC3) & Addendum (UCC3Ad)	\$10.00	\$20.00
• For each purpose (e.g. termination, continuation, assignment or amendment)		
National Financing Statement Additional Party (UCC1AP)	NO FEE	NO FEE
• For use with the UCC1		
National Financing Statement Amendment Additional Party (UCC3AP)	NO FEE	NO FEE
• For use with the UCC3		

UNIFORM COMMERCIAL CODE (UCC)
FEE SCHEDULE
(cont'd)

National Correction Statement (UCC5)	\$10.00	\$20.00
Notice of Federal Tax Lien (FTL1) & Amendment (FTL3)	\$10.00	\$20.00
Notice of State Tax Lien (STL1)	NO FEE	NO FEE
Notice of State Tax Lien Release (STL3)	\$ 2.00	\$ 2.00
Notice of Attachment Lien/Termination/Amendment (ATL1 or 3)	\$10.00	\$20.00
Notice of Judgment Lien & Addendum (JL1)	\$10.00	\$20.00
Judgment Lien Release or Subordination (JL3)	\$10.00	\$20.00
Judgment Lien Partial or Full Satisfaction of Judgment (court issued document)	\$10.00	\$20.00

ORDERS:

Debtor Search Certificate (Std Search Logic only)	\$10.00
Copy of first page of any document	\$ 1.00
Each additional page	\$ 0.50
Comparing a copy of any document with the original document on file	\$ 3.00
Certification of copy (per document and in addition to copy fees)	\$ 5.00

SPECIAL HANDLING:

Special handling fees are charged for filings and orders that are hand-delivered to this office for over-the-counter processing. Special handling fees are in addition to regular fees for such services and are applicable each time a filing or order is submitted, and must be presented separate from other applicable fees.

Special handling for each filing or order received at the public counter	\$ 6.00
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BULK ORDERS:

Annual

Daily Subscription – Data	\$2,700.00
Daily Subscription – Image	\$3,700.00
Daily Subscription – Data + Image	\$4,300.00

One-Time or As Needed

Master Upload – Data	\$ 100.00
Master Upload – Image	\$ 800.00
Master Upload – Data + Image	\$ 900.00

FILING TIPS

This office will only accept the National Financing Statement (UCC1) and the National Financing Statement Amendment (UCC3). The Addendum (UCC1Ad and UCC3Ad) and the Additional Party forms (UCC1AP and UCC3AP) should only be completed and submitted with the UCC1 or UCC3 if they are needed to record additional information. **Note:** The Additional Party forms may be used to record additional information when filing Judgment Liens and Attachment Liens, as well.

Please send the original document only. An acknowledgment letter and image of the original document will be returned to you as the acknowledgment copy for each accepted filing. To request a debtor search certificate at the time of filing, mark box 7 on the UCC1 form and send an additional copy, clearly marked “**Search Copy**” along with the additional fee.